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UNITED STATES PATENT AND TRADEMARK OFFICE COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov				
APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER	
09/942,977	08/31/2001 08/30/2001	K. Kutarni	SCEW 18.970	

026304
 ROSENMAN & COLIN LLP
 575 MADISON AVENUE
 NEW YORK, NY 10022-2585

CONFIRMATION NO. 7679

FORMALITIES LETTER



OC000000006836714

Date Mailed: 10/03/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

12/07/2001 BADRAHA1 00000038 501290 09942977

FILED UNDER 37 CFR 1.53(b)

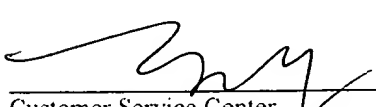
01 FC:101	740.00 CH
02 FC:102	420.00 CH
03 FC:105	130.00 CH

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$400.
 - \$400 for 5 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1240.**

*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



12-05-01

N Sector

#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re. U.S. Application of: Ken KUTARAGI, ET AL.

Filed: August 30, 2001

Serial No.: 09/942,977

For: METHOD AND SYSTEM FOR MONITORING UTILIZING CONDITION...

Attention : Application Branch

SUBMISSION OF MISSING PARTS

Hon. Commissioner of Patents
and Trademarks
Washington, D.C. 20231

SIR:

We have received a Notice to File Missing Parts of Application dated October 3, 2001 a copy of which is attached. In reply thereto enclosed please find the following items:

- ☒ 1. Declaration;
- ☒ 2. Priority Documents; Certified copy of Japanese Application no. 2000-266154 filed September 1, 2000 & 2001-261723 filed August 30, 2001.
- ☒ 3. Return post card;
- ☒ 4. Notice to File Missing Parts of Application;

Also, the FILING DATE was incorrectly listed as: 08/31/2001. Please change the same on the Filing Receipt to read: 08/30/2001.

Any fee due with this paper may be charged to Deposit Acct. No. 50-1290.

ANY FEE DUE WITH THIS PAPER, NOT FULLY
COVERED BY AN ENCLOSED CHECK, MAY BE
CHARGED ON DEPOSIT ACCOUNT NO. 501290

Respectfully submitted,

[X] Linda S. Chan

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TELEPHONE: (212) 940-8800
NOVEMBER 30, 2001
Docket No.: 100809-16280 (SCEW 18.970)
ejh: Mispart.

22134674.01

Filed by Express Mail
(Receipt No. 66 8608036/724)
on November 30, 2001
pursuant to 37 C.F.R. 1.10.
by